



*TFW* 3692  
PATENT  
Docket No.: 09163-20901

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Allen et al.

**Serial No.** : 09/929,886      **Group Art Unit** : 3692

**Filed** : August 15, 2001      **Examiner** : Chencinski

**For** : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A SECURITIES TRANSACTION***

**RESPONSE TO ELECTION REQUIREMENT**

**COMMISSIONER FOR PATENTS**

**PO Box 1450**

**Alexandria, VA 22313-1450**

Sir:

In response to Examiner's Restriction Requirement dated October 24, 2006, Applicants provisionally elect to pursue prosecution of Invention III (claims 12-24, 42-57 and 87-89). This election is made without traverse.

No extension of time is believed necessary for this response. However, any extension of time which may be required for this response is hereby petitioned. The Commissioner is authorized to charge any fee which may be required for this paper to Deposit Account Number 13-3250, Order No. 09163-20901.

An early and favorable examination on the merits is respectfully requested.

Respectfully submitted,  
Milbank, Tweed, Hadley & McCloy, L.L.P.

Chris L. Holm  
Reg. No.: 39,227

November 16, 2006

Milbank, Tweed, Hadley & McCloy LLP  
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**CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))**

**COMMISSIONER FOR PATENTS**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

I hereby certify that the attached:

1. Response to Election Requirement (in duplicate); and
2. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
Milbank Tweed Hadley & McCloy LLP

  
Chris L. Holm

November 16, 2006

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